

FATCA & CRS Declaration - Non Individual

PAN	 	Trading Code	 	Client ID	
Name	 				

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax ID number below)

Sr. No	Country	Tax Identification Number	Identification Type (TIN or Other, please specify)
1.			
2.			
3.			

In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification number of Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation/Tax residence is U.S. but Entity is not a specified U.S. Person, mention Entity's exemption code here

PART A (to be filled by Financial institutions or Direct reporting NFEs)

1. We are a, Financial institution (Refer 1 of Part C) ☐ or Direct reporting NFE (refer 3(vii) of Part C) ☐ (please tick appropriate)	GIIN Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity _____ ☐ Applied for ☐ Not obtained - Non participating F1 ☐ Not required to apply for- please specify 2 digits sub-category ☐ (Refer 1A of Part C)
GIIN not available (please tick as applicable)	

PART B (please fill any one as appropriate "to be filled by NFEs than Direct reporting NFEs)

1. Is the Entity a publicly traded company (that is, a company whose share are regularly traded on an established securities market) (Refer 2a of Part C)	Yes ☐ (If yes, please specify only one stock exchange on which the stock is regularly traded) Name of stock exchange _____
2. Is the Entity a related entity of a publicly traded company whose shares are regularly traded on an established securities market (Refer 2b of Part C)	Yes ☐ (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company _____ Nature of Relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company Name of Stock exchange _____
3. Is the Entity an active NFE (Refer 2c of Part C)	Yes ☐ Nature of Business _____ Please specify the sub-category of Active NFE ☐ (Mention code - refer 2c of Part C)
4. Is the Entity a passive NFE (Refer 3(ii) of Part C)	Yes ☐ Nature of Business _____

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick applicable category) ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company

☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust

☐ Other (please specify _____)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification number of EACH controlling person(s). (Please attach additional sheets if necessary)

Owner-document FF's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)